

# County of Cook, IL

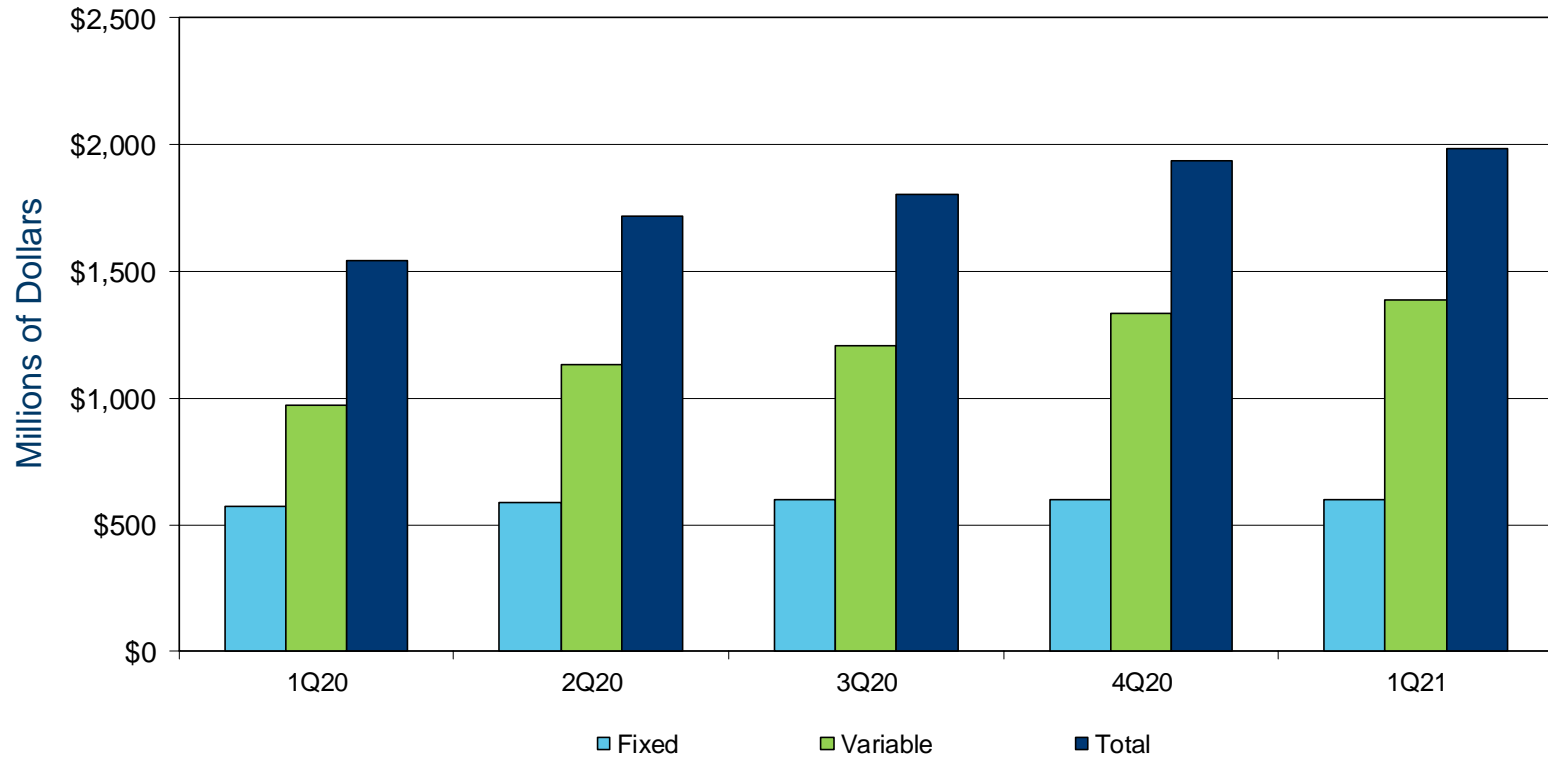
## Deferred Compensation Plan

As of March 31, 2021



# Plan Assets

# Asset Growth\*



\*As of March 31, 2021

## Assets by Investment Option\*

<u>Investment Option</u>	<u>Assets</u>	<u>% of Total</u>
<b>Asset Allocation</b>		
Vanguard LifeStrategy Growth Fund (Investor Shares)	\$ 51,404,589.68	2.59%
Vanguard LifeStrategy Moderate Growth Fund (Investor Shares)	\$ 50,953,632.68	2.57%
Vanguard LifeStrategy Conservative Growth Fund (Investor Shares)	\$ 18,430,615.25	0.93%
T. Rowe Price Retirement 2025 Fund (Investor Class)	\$ 9,105,781.22	0.46%
T. Rowe Price Retirement 2040 Fund (Investor Class)	\$ 6,212,482.91	0.31%
T. Rowe Price Retirement 2030 Fund (Investor Class)	\$ 5,933,977.94	0.30%
T. Rowe Price Retirement 2035 Fund (Investor Class)	\$ 4,733,587.23	0.24%
T. Rowe Price Retirement 2045 Fund (Investor Class)	\$ 4,490,251.24	0.23%
T. Rowe Price Retirement 2020 Fund (Investor Class)	\$ 4,167,271.37	0.21%
T. Rowe Price Retirement 2050 Fund (Investor Class)	\$ 3,709,118.26	0.19%
T. Rowe Price Retirement 2055 Fund (Investor Class)	\$ 2,180,511.07	0.11%
T. Rowe Price Retirement 2015 Fund (Investor Class)	\$ 778,108.59	0.04%
T. Rowe Price Retirement 2010 Fund (Investor Class)	\$ 606,177.05	0.03%
<b>Total</b>	<b>\$ 162,706,104.49</b>	<b>8.20%</b>
<b>International Stocks</b>		
EuroPacific Growth Fund (Class R5)	\$ 102,573,730.24	5.17%
Capital World Growth & Income Fund (Class R6)	\$ 71,553,381.43	3.61%
<b>Total</b>	<b>\$ 174,127,111.67</b>	<b>8.78%</b>

\*As of March 31, 2021

## Assets by Investment Option\*

<u>Investment Option</u>	<u>Assets</u>	<u>% of Total</u>
<b>Small-Cap Stocks</b>		
Vanguard Small-Cap Index Fund (Institutional Shares)	\$ 37,301,366.83	1.88%
William Blair Small Cap Growth Fund (Class I)	\$ 26,618,900.21	1.34%
Neuberger Berman Genesis Fund (Institutional Class)	\$ 19,671,873.48	0.99%
Victory Sycamore Small Company Opportunity Fund (Class I)	\$ 6,083,092.69	0.31%
<b>Total</b>	<b>\$ 89,675,233.21</b>	<b>4.52%</b>
<b>Mid-Cap Stocks</b>		
Vanguard Mid-Cap Index Fund (Institutional Shares)	\$ 52,620,346.19	2.65%
JP Morgan Mid Cap Value Fund (Class L)	\$ 41,359,484.48	2.08%
<b>Total</b>	<b>\$ 93,979,830.67</b>	<b>4.74%</b>
<b>Large-Cap Stocks</b>		
Fidelity Contrafund (Class K6)	\$ 286,113,931.38	14.42%
Vanguard Institutional Index Fund (Institutional Shares)	\$ 183,753,542.85	9.26%
Growth Fund of America (Class R6)	\$ 101,790,476.86	5.13%
American Century Focused Large Cap Value Fund (Class R6)	\$ 44,620,415.11	2.25%
T. Rowe Price U.S. Equity Research Fund (I Class)	\$ 39,088,434.72	1.97%
Invesco Growth and Income Fund (Class Y)	\$ 34,627,999.46	1.75%
<b>Total</b>	<b>\$ 689,994,800.38</b>	<b>34.78%</b>

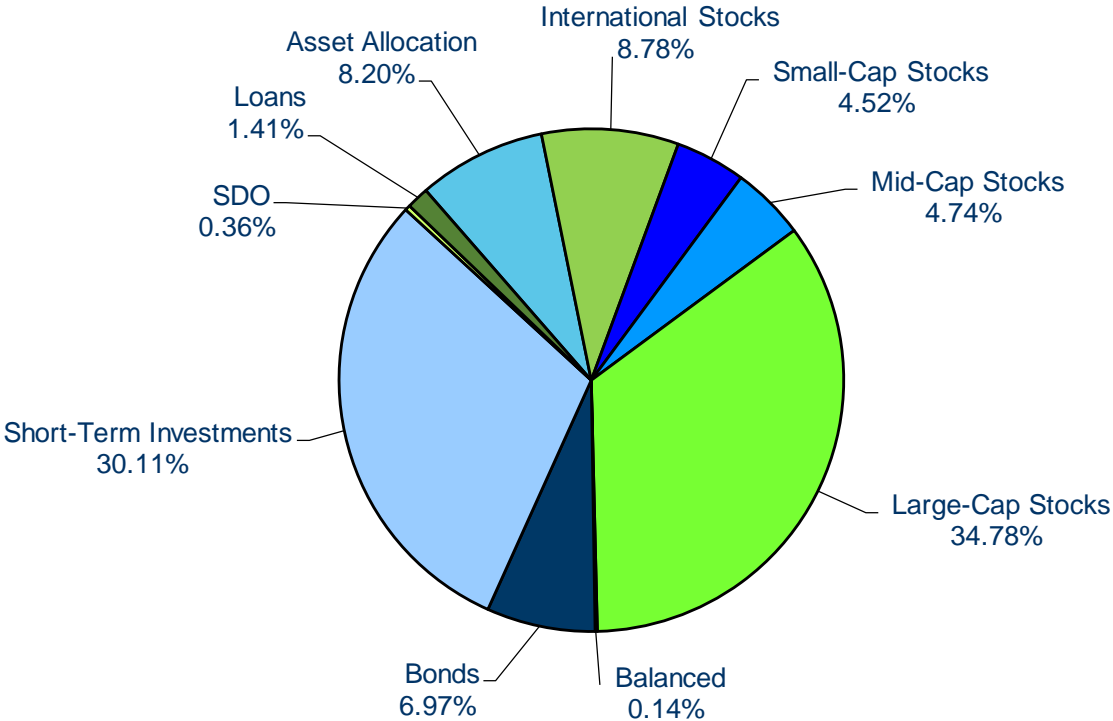
\*As of March 31, 2021

## Assets by Investment Option\*

<u>Investment Option</u>	<u>Assets</u>	<u>% of Total</u>
<b>Balanced</b>		
T. Rowe Price Retirement Balanced Fund (Investor Class)	\$ 2,726,717.57	0.14%
<b>Bonds</b>		
Dodge & Cox Income Fund	\$ 138,234,471.91	6.97%
<b>Short-Term Investments</b>		
Cook County Blended Fixed Option	\$ 597,309,328.36	30.11%
<b>Self-Directed Option</b>		
Schwab PCRA	\$ 7,113,127.16	0.36%
<b>Loans</b>		
Loan Outstanding Principal	\$ 27,989,593.07	1.41%
<b>Total:</b>	<b>\$ 1,983,856,318.49</b>	<b>100.00%</b>

\*As of March 31, 2021

# Assets by Investment Category\*

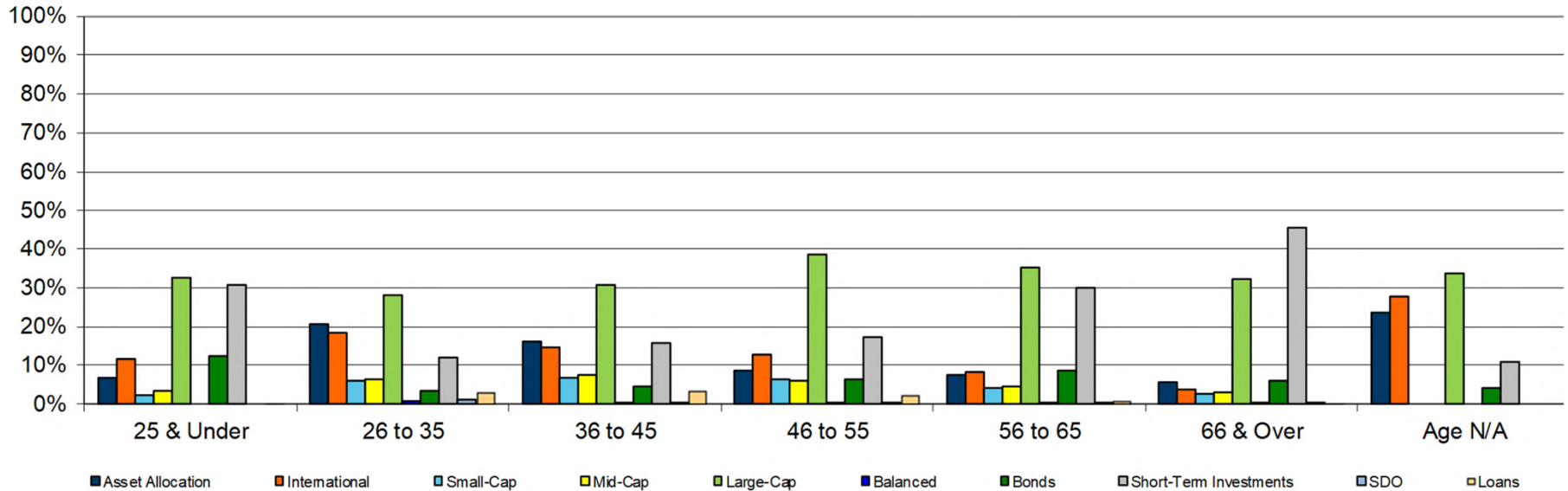


\*As of March 31, 2021

# Assets by Participant Age Group and Asset Class\*

Age Group	Asset Allocation	International	Small-Cap	Mid-Cap	Large-Cap	Balanced	Bonds	Short-Term Investments	SDO	Loans	Total
25 & Under	\$48,791	\$85,029	\$16,829	\$25,666	\$237,757	\$0	\$90,382	\$224,289	\$0	\$1,358	<b>\$730,100</b>
26 to 35	\$5,731,584	\$5,067,237	\$1,661,015	\$1,781,073	\$7,765,389	\$194,296	\$1,002,740	\$3,322,619	\$359,539	\$910,540	<b>\$27,796,031</b>
36 to 45	\$23,097,774	\$21,130,699	\$9,879,286	\$10,769,641	\$43,785,629	\$117,393	\$6,232,406	\$22,378,700	\$516,018	\$5,142,155	<b>\$143,049,701</b>
46 to 55	\$44,716,830	\$64,887,904	\$32,689,343	\$31,592,271	\$196,515,118	\$1,184,955	\$32,863,064	\$88,327,540	\$2,730,281	\$12,332,243	<b>\$507,839,549</b>
56 to 65	\$55,504,830	\$60,362,949	\$29,330,748	\$33,041,909	\$253,503,808	\$862,483	\$61,972,152	\$216,374,355	\$2,949,550	\$7,947,152	<b>\$721,849,935</b>
66 & Over	\$33,549,243	\$22,526,106	\$16,098,012	\$16,769,271	\$188,105,776	\$367,590	\$36,063,966	\$266,655,150	\$557,738	\$1,656,145	<b>\$582,348,997</b>
N/A	\$57,053	\$67,189	\$0	\$0	\$81,324	\$0	\$9,763	\$26,676	\$0	\$0	<b>\$242,005</b>
<b>Total</b>	<b>\$162,706,104</b>	<b>\$174,127,112</b>	<b>\$89,675,233</b>	<b>\$93,979,831</b>	<b>\$689,994,800</b>	<b>\$2,726,718</b>	<b>\$138,234,472</b>	<b>\$597,309,328</b>	<b>\$7,113,127</b>	<b>\$27,989,593</b>	<b>\$1,983,856,318</b>

Percentage of Assets by Asset Class within Age Group



\*As of March 31, 2021



## Assets by Participant Age Group and Averages\*

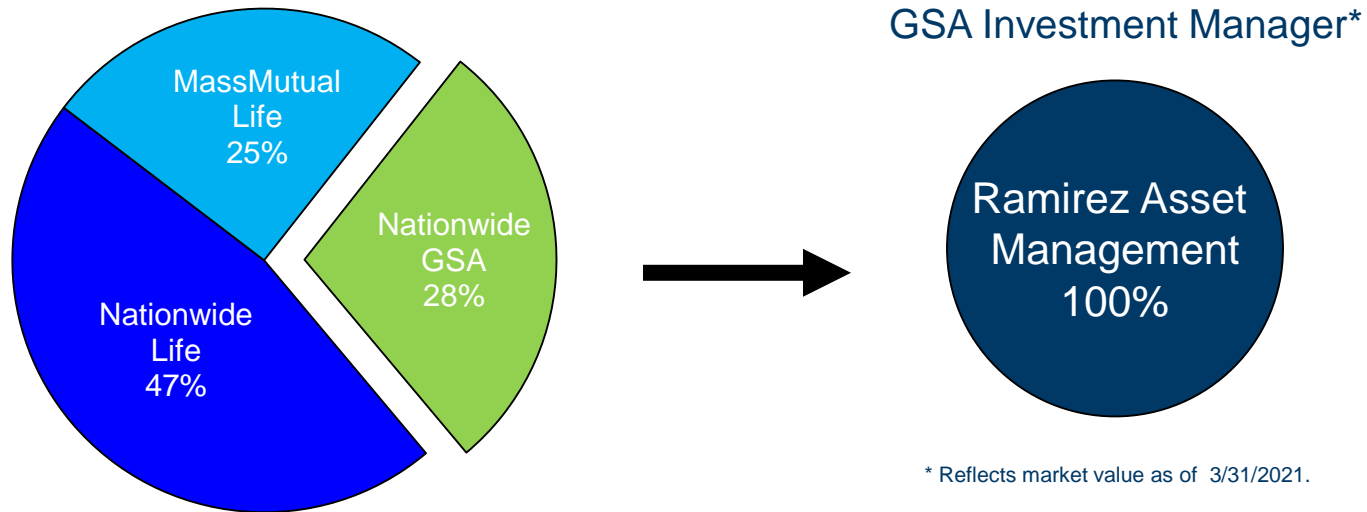
Age	Participants**	Assets	% of Total	Avg Balance
25 & Under	70	\$730,100	0.04%	\$10,430
26 to 35	1,778	\$27,796,031	1.40%	\$15,633
36 to 45	3,763	\$143,049,701	7.21%	\$38,015
46 to 55	5,781	\$507,839,549	25.60%	\$87,846
56 to 65	5,347	\$721,849,935	36.39%	\$135,001
66 & Over	3,522	\$582,348,997	29.35%	\$165,346
N/A	2	\$242,005	0.01%	\$121,002
<b>Total:</b>	<b>20,263</b>	<b>\$1,983,856,318</b>	<b>100.00%</b>	<b>\$97,905</b>

\* As of March 31, 2021

\*\* Represents the number of participants with a balance on March 31, 2021.

# Blended Fixed Breakdown

# Cook County Blended Fixed Option



Product Provider	2nd Quarter 2021 Interest Yield	3/31/2021 Book Value	3/31/2021 Market Value	Net Purchase Allocation 2Q2021	Net Redemption Allocation 2Q2021
Nationwide GSA	1.92%	\$169,351,317	\$175,719,238	33%	28%
Nationwide Life Insurance Company	2.65%	\$276,546,568	\$276,546,568	34%	47%
MassMutual Life Insurance Company	3.00%	\$151,415,736	\$151,415,736	33%	25%
<b>Cook County Blended Fixed #</b>	<b>2.53%</b>	<b>\$597,309,328</b>	<b>\$603,681,542</b>		

# The Book Value total for the Cook County Blended Fixed does not equal the sum of the Book Values for the underlying contracts. This difference is expected and is a factor in the computation of the next quarterly yield for the Cook County Blended Fixed Option.

# Cook County Blended Fixed Option

## 3-Year Yield History

	2019			
Product	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Nationwide Life (Gen. Acct.)	3.00%	3.00%	3.00%	3.00%
MassMutual Life Insurance Company	3.00%	3.00%	3.00%	3.00%
Nationwide Life (GSA)	2.93%	2.92%	2.97%	2.95%
<b>Blended Rate</b>	<b>3.00%</b>	<b>2.98%</b>	<b>2.99%</b>	<b>2.99%</b>

	2020			
Product	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Nationwide Life (Gen. Acct.)	3.00%	2.95%	2.90%	2.85%
MassMutual Life Insurance Company	3.00%	3.00%	3.00%	3.00%
Nationwide Life (GSA)	2.75%	2.75%	2.46%	2.12%
<b>Blended Rate</b>	<b>2.93%</b>	<b>2.91%</b>	<b>2.83%</b>	<b>2.68%</b>

	2021			
Product	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Nationwide Life (Gen. Acct.)	2.75%	2.65%		
MassMutual Life Insurance Company	3.00%	3.00%		
Nationwide Life (GSA)	2.05%	1.92%		
<b>Blended Rate</b>	<b>2.61%</b>	<b>2.53%</b>		

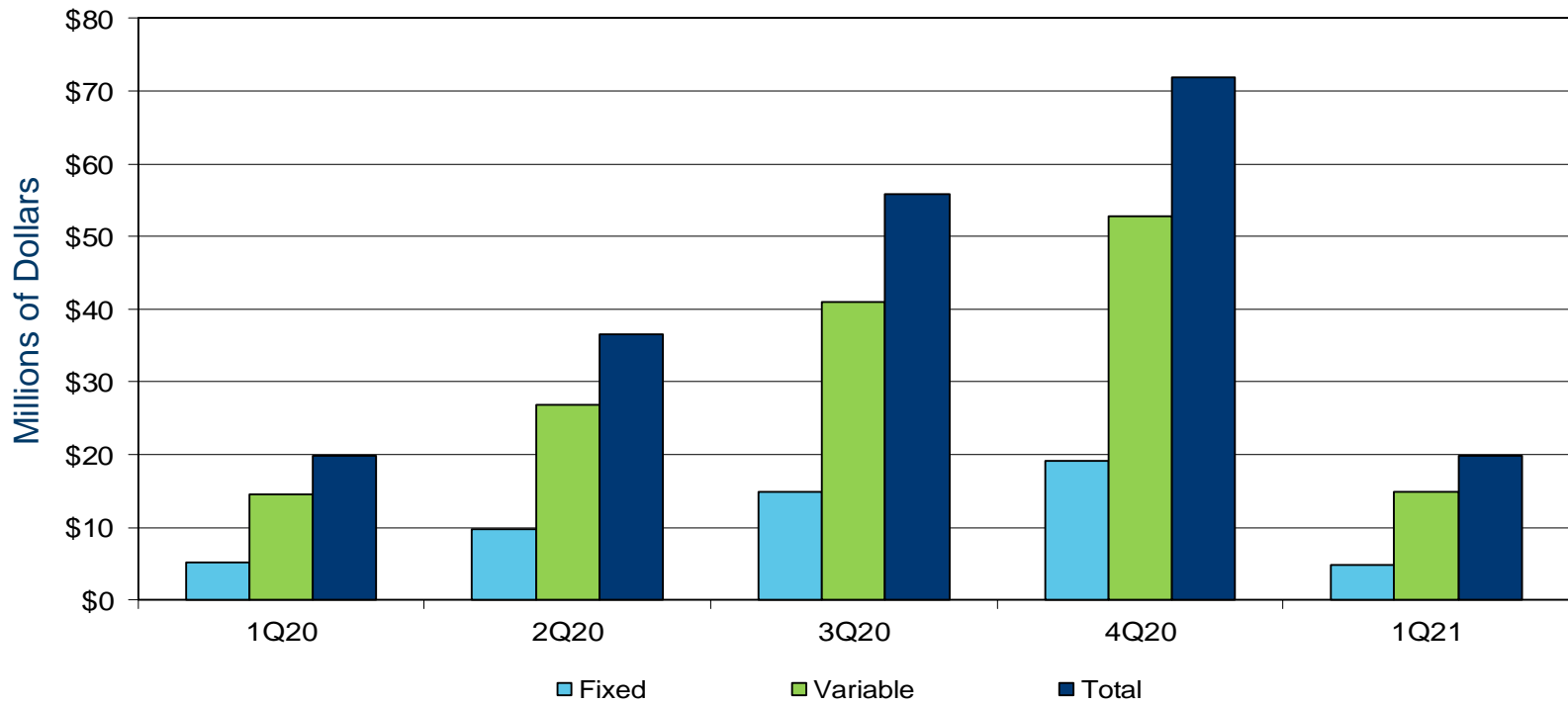
## Self-Directed Option\*

Date	Beginning Balance	Transfers TO Schwab	Transfers FROM Schwab	Ending Balance	Participants
1Q20	\$4,125,518	\$107,391	\$191,810	\$3,125,221	75
2Q20	\$3,125,221	\$133,098	\$26,566	\$4,718,302	79
3Q20	\$4,718,302	\$162,908	\$62,047	\$5,116,144	81
4Q20	\$5,116,144	\$480,303	\$38,812	\$6,483,110	86
1Q21	\$6,483,110	\$393,885	\$18,766	\$7,148,271	93

\*As of March 31, 2021

# Plan Deferrals

# Deferral Growth\*



\*As of March 31, 2021 YTD

## Deferrals by Investment Option\*

<u>Investment Option</u>	<u>Deferrals</u>	<u>% of Total</u>
<b>Asset Allocation</b>		
Vanguard LifeStrategy Growth Fund (Investor Shares)	\$ 1,071,221.49	5.42%
Vanguard LifeStrategy Moderate Growth Fund (Investor Shares)	\$ 438,071.64	2.21%
Vanguard LifeStrategy Conservative Growth Fund (Investor Shares)	\$ 214,163.56	1.08%
T. Rowe Price Retirement 2040 Fund (Investor Class)	\$ 211,049.75	1.07%
T. Rowe Price Retirement 2030 Fund (Investor Class)	\$ 189,752.28	0.96%
T. Rowe Price Retirement 2050 Fund (Investor Class)	\$ 182,218.48	0.92%
T. Rowe Price Retirement 2045 Fund (Investor Class)	\$ 160,151.64	0.81%
T. Rowe Price Retirement 2025 Fund (Investor Class)	\$ 133,489.22	0.67%
T. Rowe Price Retirement 2035 Fund (Investor Class)	\$ 130,200.70	0.66%
T. Rowe Price Retirement 2055 Fund (Investor Class)	\$ 91,206.32	0.46%
T. Rowe Price Retirement 2020 Fund (Investor Class)	\$ 52,227.21	0.26%
T. Rowe Price Retirement 2010 Fund (Investor Class)	\$ 13,379.50	0.07%
T. Rowe Price Retirement 2015 Fund (Investor Class)	\$ 11,310.45	0.06%
<b>Total</b>	<b>\$ 2,898,442.24</b>	<b>14.65%</b>
<b>International Stocks</b>		
EuroPacific Growth Fund (Class R5)	\$ 1,641,505.86	8.30%
Capital World Growth & Income Fund (Class R6)	\$ 1,021,614.01	5.17%
<b>Total</b>	<b>\$ 2,663,119.87</b>	<b>13.46%</b>

\*As of March 31, 2021 YTD



## Deferrals by Investment Option\*

<u>Investment Option</u>	<u>Deferrals</u>	<u>% of Total</u>
<b>Small-Cap Stocks</b>		
Vanguard Small-Cap Index Fund (Institutional Shares)	\$ 409,083.87	2.07%
Neuberger Berman Genesis Fund (Institutional Class)	\$ 223,404.01	1.13%
William Blair Small Cap Growth Fund (Class I)	\$ 221,346.21	1.12%
Victory Sycamore Small Company Opportunity Fund (Class I)	\$ 136,060.32	0.69%
<b>Total</b>	<b>\$ 989,894.41</b>	<b>5.00%</b>
<b>Mid-Cap Stocks</b>		
Vanguard Mid-Cap Index Fund (Institutional Shares)	\$ 658,925.12	3.33%
JP Morgan Mid Cap Value Fund (Class L)	\$ 484,996.20	2.45%
<b>Total</b>	<b>\$ 1,143,921.32</b>	<b>5.78%</b>
<b>Large-Cap Stocks</b>		
Vanguard Institutional Index Fund (Institutional Shares)	\$ 1,565,875.40	7.92%
Fidelity Contrafund (Class K6)	\$ 1,354,732.03	6.85%
The Growth Fund of America (Class R6)	\$ 948,382.18	4.79%
American Century Focused Large Cap Value Fund (Class R6)	\$ 638,409.62	3.23%
T. Rowe Price U.S. Equity Research Fund (I Class)	\$ 559,876.31	2.83%
Invesco Growth and Income Fund (Class Y)	\$ 439,215.67	2.22%
<b>Total</b>	<b>\$ 5,506,491.21</b>	<b>27.84%</b>

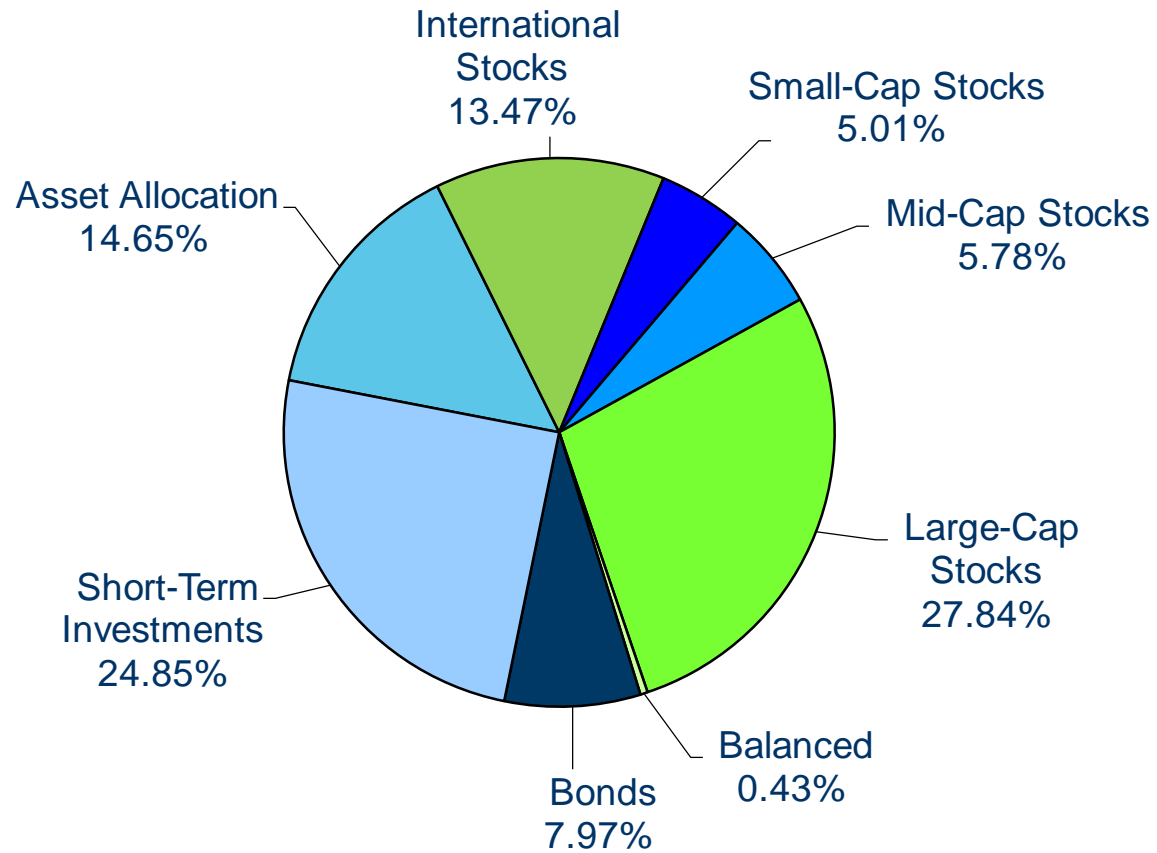
\*As of March 31, 2021 YTD

## Deferrals by Investment Option\*

<u>Investment Option</u>	<u>Deferrals</u>	<u>% of Total</u>
<b>Balanced</b>		
T. Rowe Price Retirement Balanced Fund (Investor Class)	\$ 84,774.15	0.43%
<b>Bonds</b>		
Dodge & Cox Income Fund	\$ 1,576,608.33	7.97%
<b>Short-Term Investments</b>		
Cook County Blended Fixed Option	\$ 4,915,476.89	24.85%
<b>Total:</b>	<b>\$ 19,778,728.42</b>	<b>100.00%</b>

\*As of March 31, 2021 YTD

# Deferrals by Investment Category\*

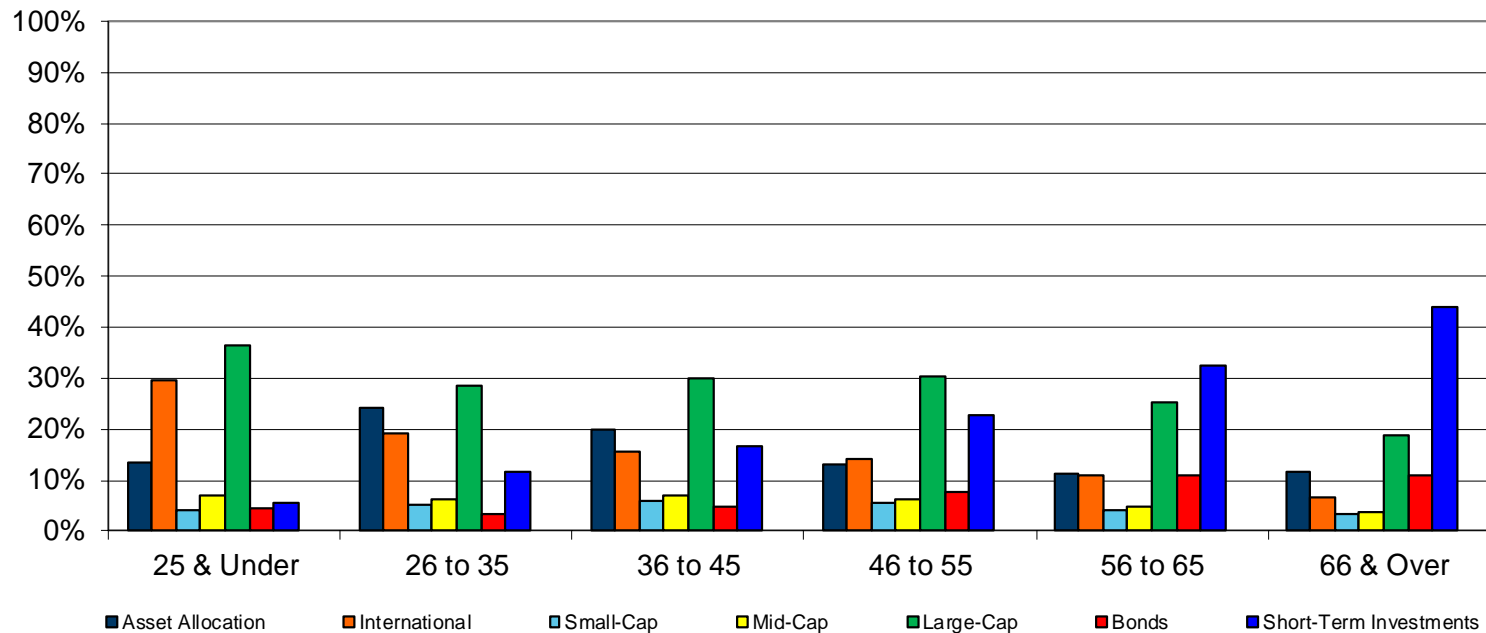


\*As of March 31, 2021 YTD

# Deferrals by Participant Age Group and Asset Class\*

Age Group	Asset Allocation	Int'l	Small-Cap	Mid-Cap	Large-Cap	Balanced	Bonds	Short-Term Investments	Total
25 & Under	\$4,545	\$9,985	\$1,324	\$2,382	\$12,289	\$0	\$1,514	\$1,823	\$33,862
26 to 35	\$384,232	\$303,120	\$79,274	\$100,939	\$450,320	\$25,052	\$53,633	\$181,045	\$1,577,615
36 to 45	\$780,900	\$619,770	\$233,158	\$267,557	\$1,179,745	\$12,747	\$189,868	\$661,760	\$3,945,506
46 to 55	\$930,982	\$1,008,872	\$395,253	\$456,168	\$2,167,662	\$25,826	\$557,367	\$1,631,029	\$7,173,158
56 to 65	\$645,659	\$633,289	\$234,712	\$267,210	\$1,449,265	\$18,684	\$629,637	\$1,863,082	\$5,741,538
66 & Over	\$152,125	\$88,084	\$46,173	\$49,665	\$247,210	\$2,465	\$144,590	\$576,737	\$1,307,049
<b>Total</b>	<b>\$2,898,442</b>	<b>\$2,663,120</b>	<b>\$989,894</b>	<b>\$1,143,921</b>	<b>\$5,506,491</b>	<b>\$84,774</b>	<b>\$1,576,608</b>	<b>\$4,915,477</b>	<b>\$19,778,728</b>

Percentage of Deferrals by Asset Class within Age Group



\*As of March 31, 2021 YTD

## Deferrals by Participant Age and Averages\*

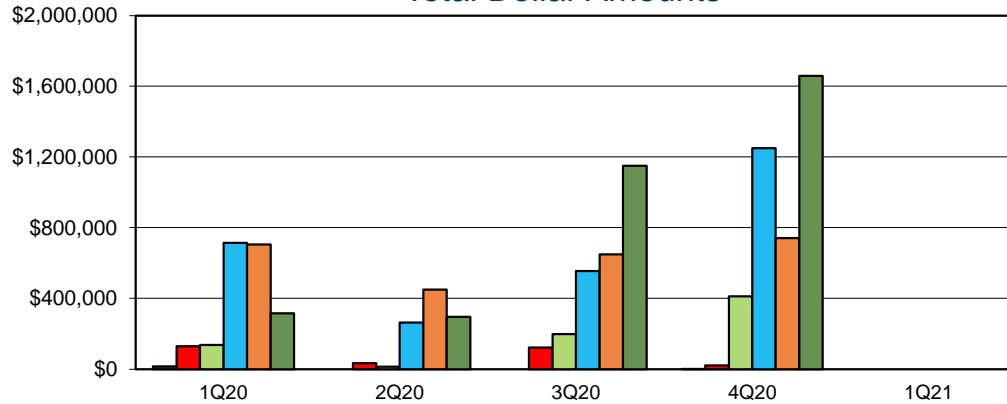
Age	Participants**	Deferrals	% of Total	Avg Def (YTD)
25 & Under	48	\$33,862	0.17%	\$705
26 to 35	1,413	\$1,577,615	7.98%	\$1,117
36 to 45	2,953	\$3,945,506	19.95%	\$1,336
46 to 55	4,370	\$7,173,158	36.27%	\$1,641
56 to 65	2,739	\$5,741,538	29.03%	\$2,096
66 & Over	524	\$1,307,049	6.61%	\$2,494
<b>Total:</b>	<b>12,047</b>	<b>\$19,778,728</b>	<b>100.00%</b>	<b>\$1,642</b>

\* As of March 31, 2021 YTD

\*\* Represents the number of participants who have deferred between January 1 and March 31, 2021.

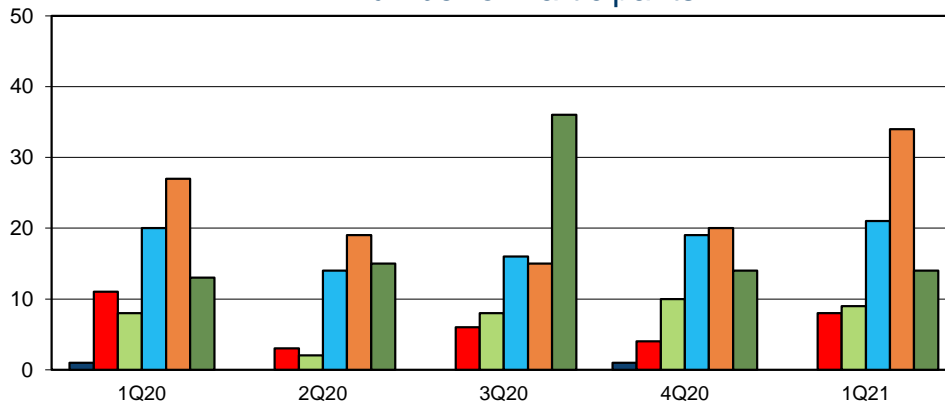
# Transfers/Rollovers In by Age Group\*

### Total Dollar Amounts



Age Group	1Q20	2Q20	3Q20	4Q20	1Q21
25 & Under	\$14,817	\$0	\$0	\$693	\$0
26-35	\$128,138	\$32,844	\$121,380	\$20,305	\$220,443
36-45	\$135,612	\$13,362	\$197,175	\$410,873	\$321,836
46-55	\$714,257	\$263,347	\$554,699	\$1,249,978	\$984,352
56-65	\$704,678	\$449,839	\$648,540	\$740,569	\$1,171,425
66 & Older	\$314,593	\$295,054	\$1,150,586	\$1,657,648	\$631,067
<b>Total</b>	<b>\$2,012,095</b>	<b>\$1,054,446</b>	<b>\$2,672,380</b>	<b>\$4,080,066</b>	<b>\$3,329,122</b>

### Number of Participants

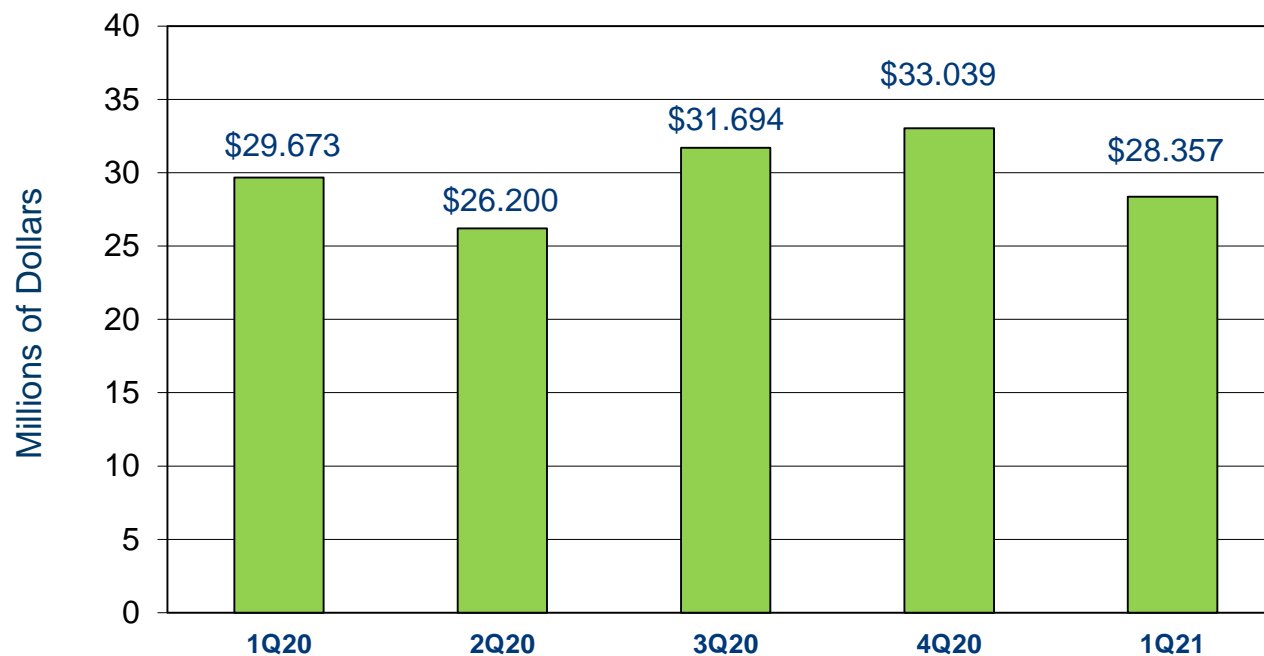


Age Group	1Q20	2Q20	3Q20	4Q20	1Q21
25 & Under	1	0	0	1	0
26-35	11	3	6	4	8
36-45	8	2	8	10	9
46-55	20	14	16	19	21
56-65	27	19	15	20	34
66 & Older	13	15	36	14	14
<b>Total</b>	<b>80</b>	<b>53</b>	<b>81</b>	<b>68</b>	<b>86</b>

\*As of March 31, 2021

# Distributions

## Withdrawals\*



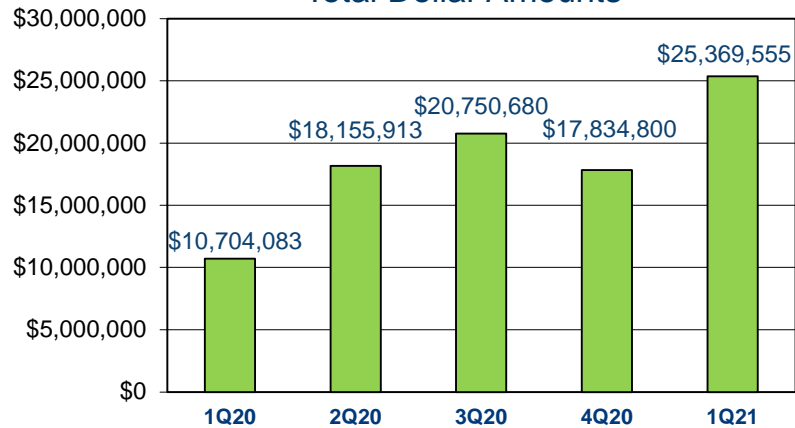
	1Q20	2Q20	3Q20	4Q20	1Q21
<b>Total Distributed</b>	<b>\$29,672,643</b>	<b>\$26,200,455</b>	<b>\$31,694,182</b>	<b>\$33,039,276</b>	<b>\$28,356,875</b>
<b>Total Withdrawals</b>	<b>2,437</b>	<b>2,604</b>	<b>2,990</b>	<b>3,311</b>	<b>2,196</b>
<b>Total Participants</b>	<b>1,393</b>	<b>1,597</b>	<b>1,879</b>	<b>2,217</b>	<b>1,244</b>

\*As of March 31, 2021



# Partial/Lump Sum Distribution Activity\*

### Total Dollar Amounts



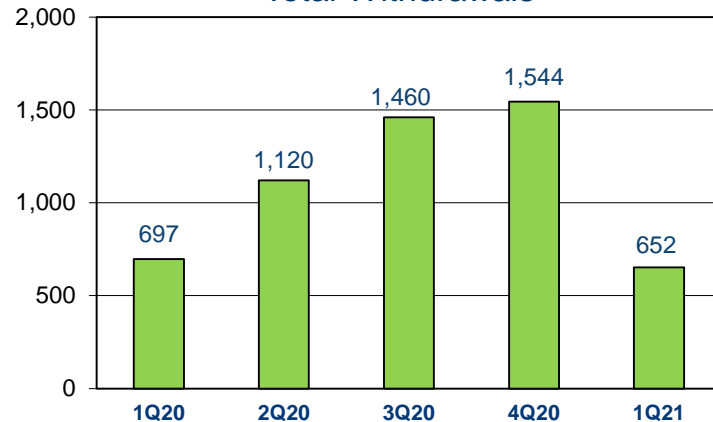
	1Q20	2Q20	3Q20	4Q20	1Q21
<b>Total Distributed</b>	<b>\$10,704,083</b>	<b>\$18,155,913</b>	<b>\$20,750,680</b>	<b>\$17,834,800</b>	<b>\$25,369,555</b>

2Q20 Distributions include CRDs/Disaster Relief transactions totaling \$12,235,816.

3Q20 Distributions include CRDs/Disaster Relief transactions totaling \$12,021,447.

4Q20 Distributions include CRDs/Disaster Relief transactions totaling \$11,718,727.

### Total Withdrawals



	1Q20	2Q20	3Q20	4Q20	1Q21
<b>Total Withdrawals</b>	<b>697</b>	<b>1,120</b>	<b>1,460</b>	<b>1,544</b>	<b>652</b>
<b>Total Participants</b>	<b>601</b>	<b>948</b>	<b>1,228</b>	<b>1,292</b>	<b>585</b>

2Q20 Distributions include CRDs/Disaster Relief transactions for 811 Total Withdrawals for 675 Participants.

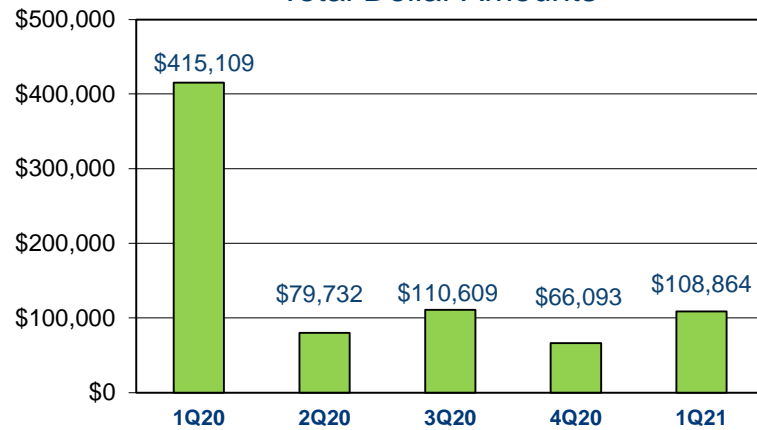
3Q20 Distributions include CRDs/Disaster Relief transactions for 1,001 Total Withdrawals for 840 Participants.

4Q20 Distributions include CRDs/Disaster Relief transactions for 1,116 Total Withdrawals for 930 Participants.

\*As of March 31, 2021

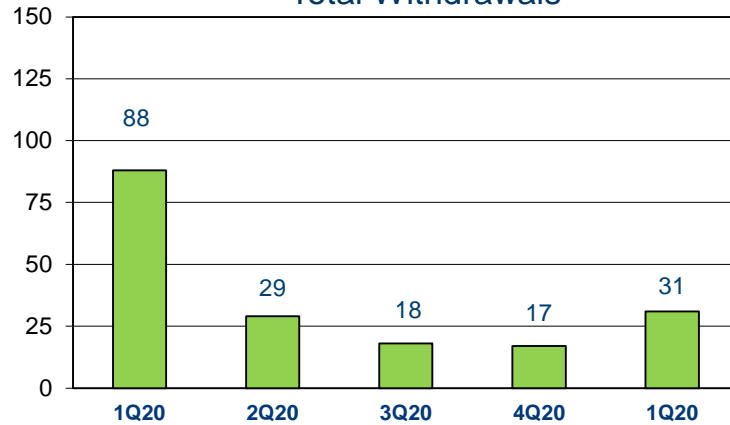
# Unforeseeable Emergency Distribution Activity\*

Total Dollar Amounts



	1Q20	2Q20	3Q20	4Q20	1Q20
<b>Total Distributed</b>	<b>\$415,109</b>	<b>\$79,732</b>	<b>\$110,609</b>	<b>\$66,093</b>	<b>\$108,864</b>

Total Withdrawals

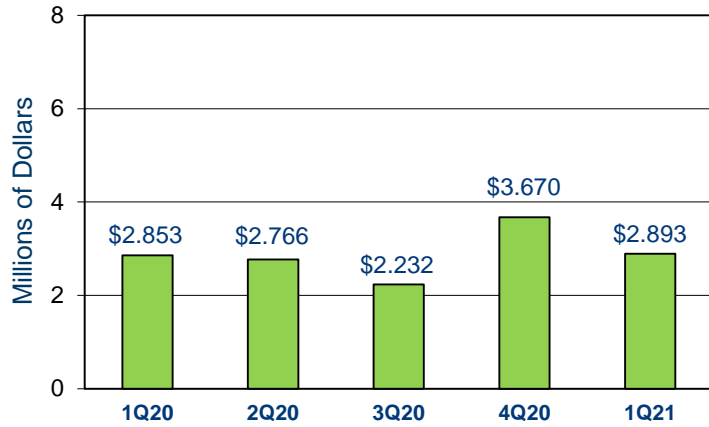


	1Q20	2Q20	3Q20	4Q20	1Q20
<b>Total Withdrawals</b>	<b>88</b>	<b>29</b>	<b>18</b>	<b>17</b>	<b>31</b>
<b>Total Participants</b>	<b>67</b>	<b>26</b>	<b>18</b>	<b>13</b>	<b>28</b>

\*As of March 31, 2021

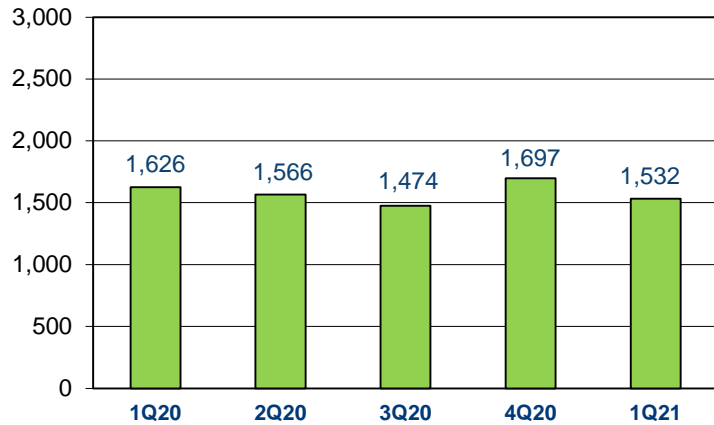
# Systematic Withdrawal Activity\*

Total Dollar Amounts



	1Q20	2Q20	3Q20	4Q20	1Q21
<b>Total Distributed</b>	<b>\$2,853,365</b>	<b>\$2,766,229</b>	<b>\$2,232,324</b>	<b>\$3,670,292</b>	<b>\$2,892,531</b>

Total Withdrawals

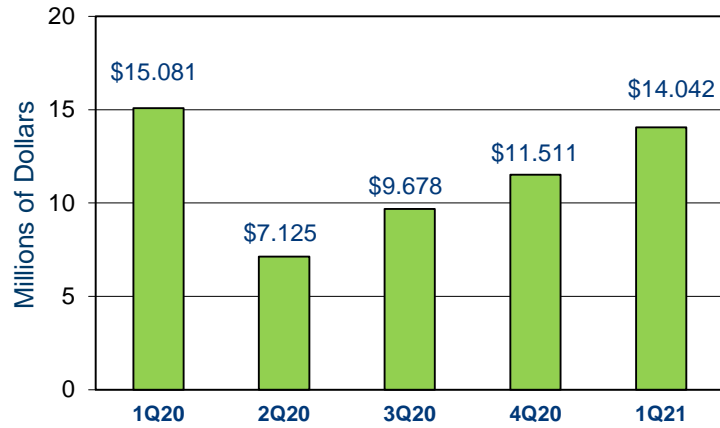


	1Q20	2Q20	3Q20	4Q20	1Q21
<b>Total Withdrawals</b>	<b>1,626</b>	<b>1,566</b>	<b>1,474</b>	<b>1,697</b>	<b>1,532</b>
<b>Total Participants</b>	<b>691</b>	<b>669</b>	<b>632</b>	<b>880</b>	<b>652</b>

\*As of March 31, 2021

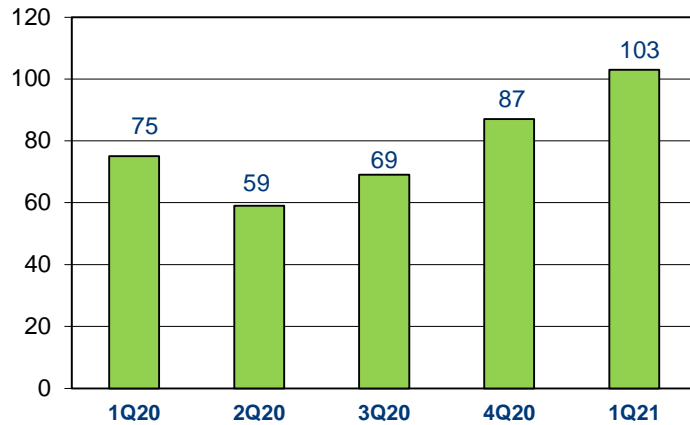
# Transfers/Rollovers Out Activity\*

Total Dollar Amounts



	1Q20	2Q20	3Q20	4Q20	1Q21
<b>Total Distributed</b>	<b>\$15,081,304</b>	<b>\$7,125,434</b>	<b>\$9,678,213</b>	<b>\$11,511,400</b>	<b>\$14,042,287</b>

Total Withdrawals



	1Q20	2Q20	3Q20	4Q20	1Q21
<b>Total Withdrawals</b>	<b>75</b>	<b>59</b>	<b>69</b>	<b>87</b>	<b>103</b>
<b>Total Participants</b>	<b>73</b>	<b>58</b>	<b>65</b>	<b>81</b>	<b>94</b>

\*As of March 31, 2021

## Transfers/Rollovers Out Activity\*

Receiving Institution	1Q21 Number	1Q21 Amount
ALLIANZ LIFE INSURANCE CO	1	\$165,245.60
AMERIPRISE FINANCIAL SERVICES INC	4	\$246,810.32
ARIEL INVST TRUST	1	\$6,000.00
ASSETMARK TRUST COMPANY	2	-\$1,533.92
CAPITAL BANK AND TRUST COMPANY	1	\$187,810.25
CETERA INVESTMENT SERVICES	1	\$31,502.83
CHARLES SCHWAB & CO INC	4	\$1,816,151.44
EDWARD JONES INVESTMENTS	8	\$1,008,983.56
EQUITABLE LIFE & CASUALTY INSURANCE CO	1	\$39,407.24
ESCHEATMENT	4	\$36,523.58
ETRADE SECURITIES LLC	1	\$20,476.94
FIDELITY MANAGEMENT TRUST COMPANY	19	\$1,964,629.82
FIDUCIARY TRUST COMPANY OF NH	1	\$61,278.70
FIRST CLEARING	1	\$235,017.09
GREAT WEST TRUST COMPANY LLC	3	\$16,389.15
ILLIANE FCU	1	\$1,288.94
JACKSON NATIONAL LIFE INSURANCE COMPANY	1	\$117,052.80
JP MORGAN SECURITIES LLC	10	\$1,480,838.20
LINCOLN FINANCIAL GROUP	1	\$100,000.00
LPL FINANCIAL LLC	1	\$495,388.72
MERRILL LYNCH PIERCE FENNER & SMITH INC	4	\$424,244.85
MESIROW	1	\$615,792.47
MORGAN STANLEY SMITH BARNEY LLC	4	\$840,713.08
NATIONAL FINANCIAL SERVICES LLC	2	\$676,142.70
NFS LLC	4	\$522,623.86
PERSHING LLC	2	\$446,636.07
PNC INVESTMENTS LLC	1	\$20,285.92
PRUDENTIAL RETIREMENT	1	\$3,290.60
T ROWE PRICE TRUST CO	3	\$185,669.22
THRIFT SAVINGS PLAN	1	\$34,752.38
TIAA	1	\$944.81
TSP ROLLOVER & TRANSFER PROCESSING UNIT	1	\$4,985.19
UBS FINANCIAL SERVICES INC	3	\$968,193.42
VANGUARD FIDUCIARY TRUST COMPANY	7	\$1,194,124.57
VANTAGEPOINT TRANSFER AGENTS-457	2	\$74,627.01
<b>Grand Total</b>	<b>103</b>	<b>\$14,042,287.41</b>

\*As of March 31, 2021

## Loans\*

Quarter	Total Outstanding (Active)	Total Amount Outstanding (Active)	Average Amount Outstanding (Active)	Newly Defaulted	Amount Defaulted	Total Defaulted	Total Default Principal Amount
1Q2020	5,868	\$33,703,238	\$5,744	141	\$663,705	1,893	\$10,096,519
2Q2020	5,786	\$32,238,866	\$5,572	85	\$462,548	1,922	\$10,159,843
3Q2020	5,681	\$31,119,702	\$5,478	50	\$204,714	1,812	\$10,442,849
4Q2020	5,526	\$29,910,491	\$5,413	94	\$423,607	1,855	\$10,632,962
1Q2021	5,318	\$27,989,498	\$5,263	110	\$803,843	1,898	\$11,064,963

Participants requested loan repayment suspension, as permitted by the CARES Act, as follows:

2Q20: 130 loans suspended

3Q20: 165 loans suspended

4Q20: 35 loans suspended

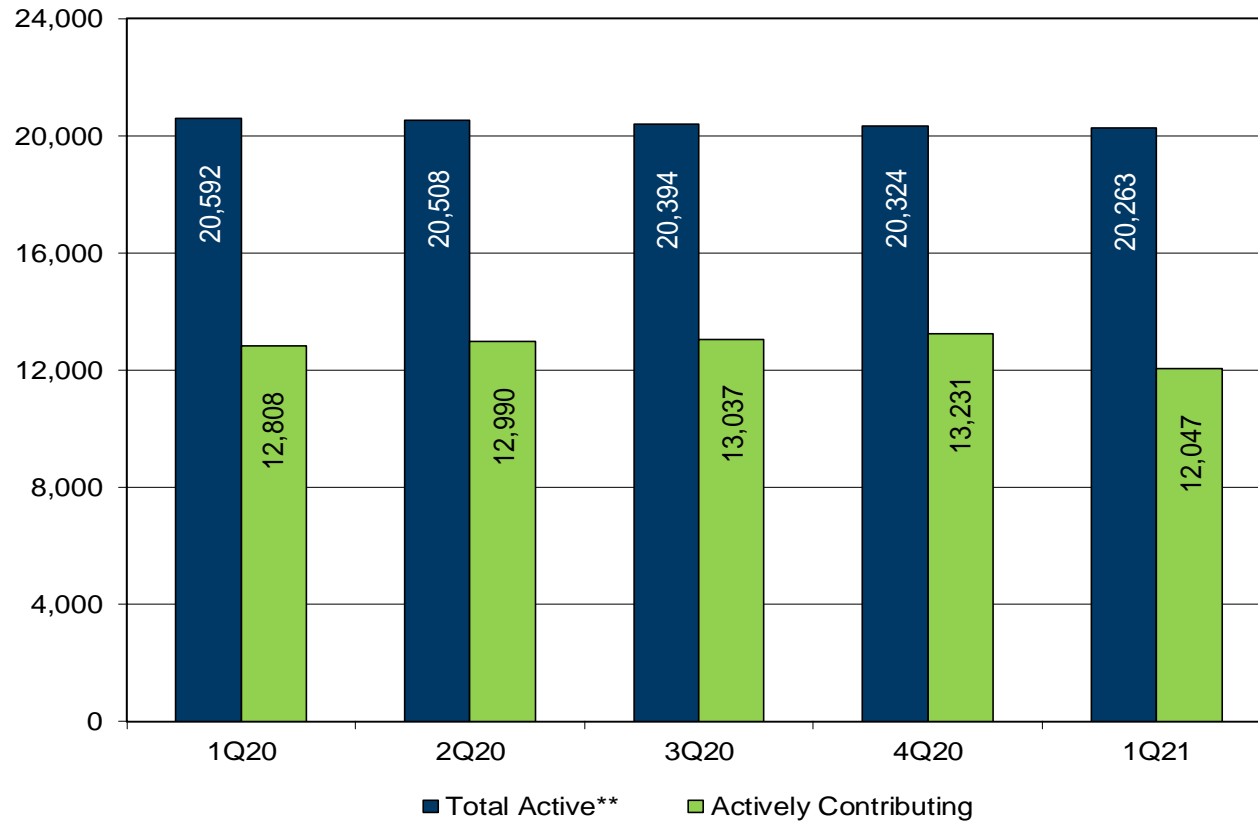
## Loans\*

Quarter	Loans Initiated	Loan Amount	Avg Loan Amount	Amount<5,000	Amount >= \$5,000	General Purpose	Primary Residence
1Q2020	480	\$3,741,970	\$7,796	237	243	477	3
2Q2020	291	\$2,944,358	\$10,118	118	173	285	6
3Q2020	276	\$2,454,619	\$8,894	130	146	272	4
4Q2020	283	\$2,603,920	\$9,201	120	163	276	7
1Q2021	271	\$2,488,534	\$9,183	113	158	270	1

# Participation



# Participant Growth Information\*



\*\**Total Active* is defined as the total of the following account statuses: Unrestricted + Administrative Hold + Awaiting 1st Deferral + Statement Maintenance + Systematic Withdrawal.  
*Actively Contributing* represents the number of participants who deferred during the year.

\*As of March 31, 2021

# Service Statistics

## Service Statistics\*

Contact	2020	1Q2021
Work-place visits	102**	0**
New enrollments	567	117
Contribution elections	4,938	1,362
Calls to Retirement Resource Group	1,281	233
Walk-in visits to local office	137**	0**
Voice response unit calls	7,703	1,889
Web-site hits (www.CookCountyDC.com)	890,109	165,747
My Investment Planner Advice	493	99

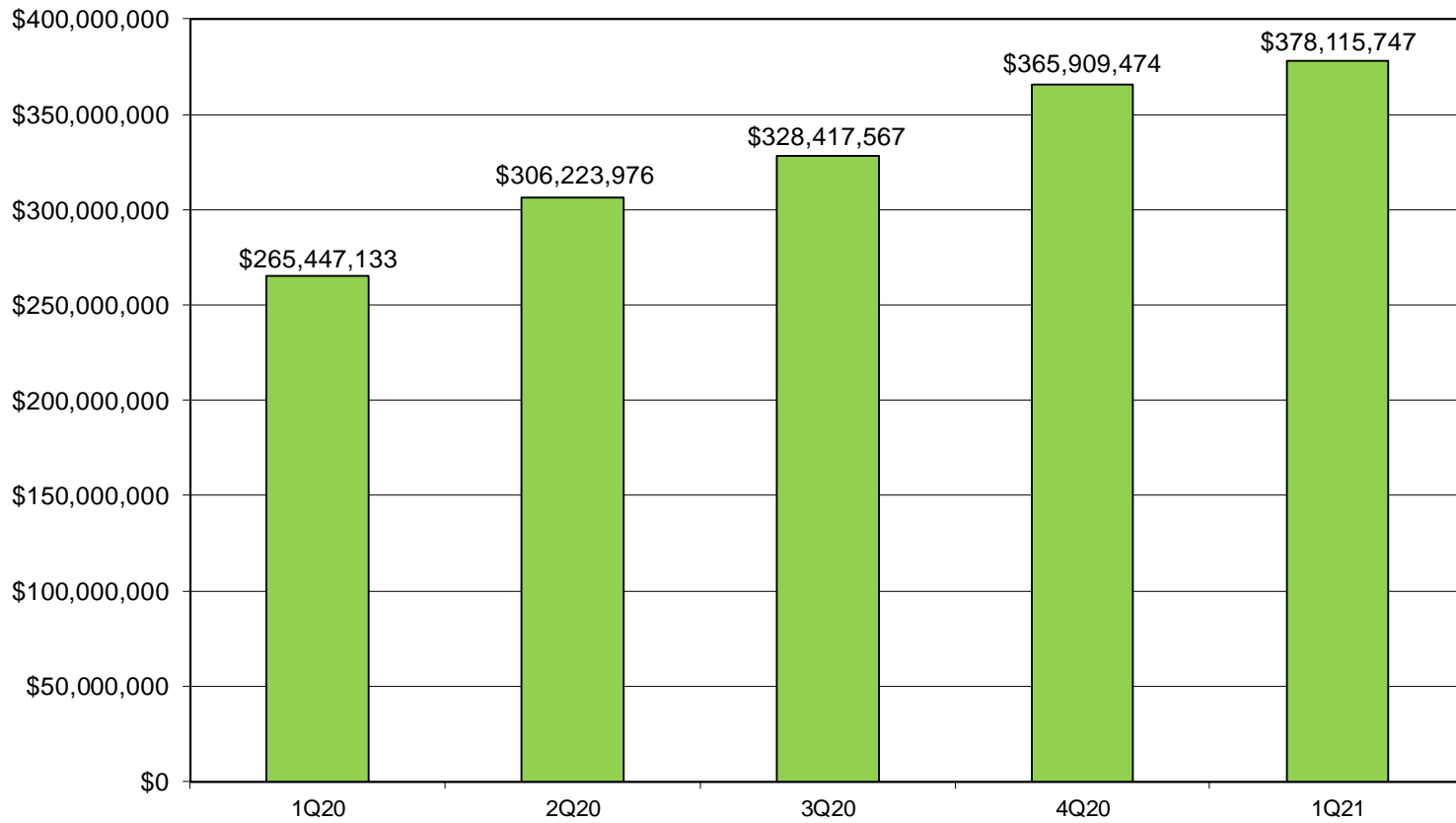
\*\*In person meetings were suspended in March 2020 due to COVID-19 pandemic.

\*As of March 31, 2021

# ProAccount Managed Account Activity

# ProAccount Managed Account Activity\*

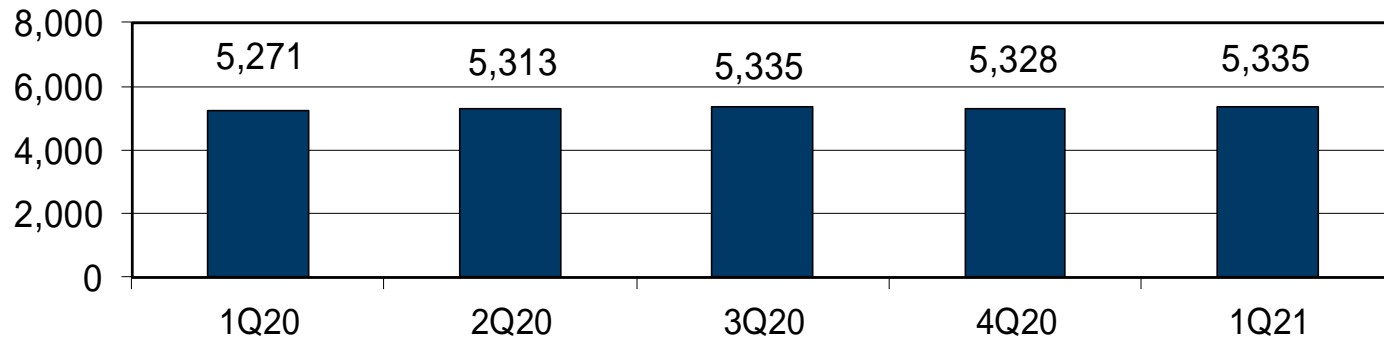
## Assets Under Management



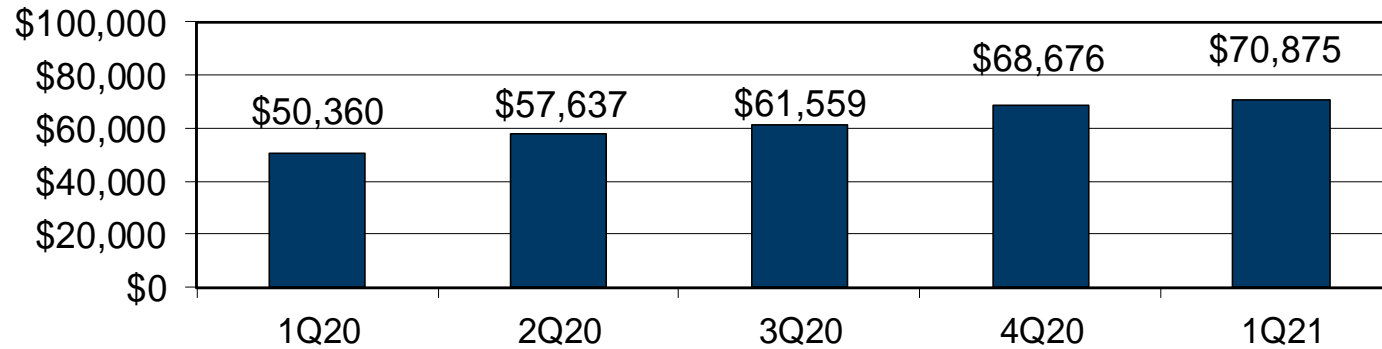
\*As of March 31, 2021

# ProAccount Managed Account Activity\*

## Number of Participants



## Average Assets per Participant



\*As of March 31, 2021

# Reserve Account Activity

# 1st Quarter 2021 Revenue Calculation\*

Investment Option	Gross Expense Ratio	Annual Fund Reimbursement Rate	1/31/2021 Account Value	2/28/2021 Account Value	3/31/2021 Account Value	1Q2021 Fund Reimbursement Revenue	Plan Compensation (0.0075%)
American Century Focused Large Cap Value Fund - Class R6	0.49%	0.00%	40,604,086	42,036,938	44,620,415	-	(777)
American Funds Capital World Growth & Income - Class R6	0.42%	0.00%	68,144,940	69,853,798	71,553,382	-	(1,287)
Cook County Blended Fixed Option	N/A	0.00%	600,158,774	597,354,659	597,309,329	-	(11,091)
Dodge & Cox Income Fund	0.42%	0.08%	138,535,690	136,612,941	138,234,472	27,189	(2,542)
EuroPacific Growth Fund(R) - Class R5	0.51%	0.05%	97,030,434	100,064,340	102,573,730	12,314	(1,846)
Fidelity Contrafund K6	0.45%	0.00%	280,398,106	280,850,589	286,113,932	-	(5,230)
Invesco Growth and Income Fund - Class Y	0.56%	0.25%	31,473,298	34,211,564	34,627,999	20,596	(609)
JPMorgan Mid Cap Value Fund - Class L	0.84%	0.10%	36,852,637	39,093,406	41,359,485	9,642	(712)
Loan	N/A	0.00%	29,358,735	28,875,267	27,989,498	-	-
Neuberger Berman Genesis Fund - Institutional Class	0.84%	0.10%	18,402,167	19,792,164	19,671,874	4,752	(343)
Schwab Personal Choice Retirement Account	N/A	0.00%	7,107,394	7,369,964	7,307,359	-	-
T. Rowe Price Retirement Balanced Fund	0.50%	0.15%	2,710,229	2,861,214	2,726,717	1,022	(51)
T. Rowe Price Retirement Funds, Inc. - T. Rowe Price Retirement 2010 Fund	0.52%	0.15%	571,097	594,524	606,177	218	(11)
T. Rowe Price Retirement Funds, Inc. - T. Rowe Price Retirement 2015 Fund	0.55%	0.15%	553,499	577,889	778,109	236	(12)
T. Rowe Price Retirement Funds, Inc. - T. Rowe Price Retirement 2020 Fund	0.57%	0.15%	4,033,909	4,060,412	4,167,272	1,512	(76)
T. Rowe Price Retirement Funds, Inc. - T. Rowe Price Retirement 2025 Fund	0.61%	0.15%	8,500,869	9,014,356	9,105,782	3,280	(164)
T. Rowe Price Retirement Funds, Inc. - T. Rowe Price Retirement 2030 Fund	0.64%	0.15%	4,932,849	5,177,099	5,933,978	1,980	(99)
T. Rowe Price Retirement Funds, Inc. - T. Rowe Price Retirement 2035 Fund	0.67%	0.15%	4,255,297	4,599,501	4,733,588	1,674	(83)
T. Rowe Price Retirement Funds, Inc. - T. Rowe Price Retirement 2040 Fund	0.69%	0.15%	5,532,605	5,877,307	6,212,483	2,173	(108)
T. Rowe Price Retirement Funds, Inc. - T. Rowe Price Retirement 2045 Fund	0.71%	0.15%	4,161,290	4,338,104	4,490,251	1,601	(80)
T. Rowe Price Retirement Funds, Inc. - T. Rowe Price Retirement 2050 Fund	0.71%	0.15%	3,381,868	3,575,811	3,709,118	1,315	(66)
T. Rowe Price Retirement Funds, Inc. - T. Rowe Price Retirement 2055 Fund	0.71%	0.15%	1,923,150	2,059,528	2,180,511	760	(38)
T. Rowe Price U.S. Equity Research Fund - I Class	0.38%	0.00%	37,938,618	39,173,234	39,088,435	-	(709)
The Growth Fund of America(R) - Class R6	0.30%	0.00%	98,374,543	101,075,769	101,790,476	-	(1,851)
Vanguard(R) Institutional Index Fund - Institutional Shares	0.035%	0.00%	173,005,433	177,119,919	183,753,542	-	(3,325)
Vanguard(R) LifeStrategy(R) Conservative Growth Fund	0.12%	0.00%	18,415,431	18,451,838	18,430,616	-	(341)
Vanguard(R) LifeStrategy(R) Growth Fund	0.14%	0.00%	48,309,998	49,625,376	51,404,589	-	(924)
Vanguard(R) LifeStrategy(R) Moderate Growth Fund	0.13%	0.00%	50,358,950	50,451,158	50,953,633	-	(935)
Vanguard(R) Mid-Cap Index Fund - Institutional Shares	0.04%	0.00%	49,149,429	51,710,588	52,620,346	-	(935)
Vanguard(R) Small-Cap Index Fund - Institutional Shares	0.04%	0.00%	28,655,905	31,004,424	37,301,367	-	(595)
Victory Sycamore Small Company Opportunity Fund - Class I	0.93%	0.10%	10,367,844	11,494,024	6,083,093	2,279	(162)
William Blair Small Cap Growth Fund - Class I	1.27%	0.15%	23,515,653	26,514,915	26,618,900	9,438	(475)
<b>Total</b>			<b>1,926,714,725</b>	<b>1,955,472,620</b>	<b>1,984,050,456</b>	<b>101,982</b>	<b>(35,475)</b>

Note: Monthly fund values are rounded; monthly totals are based on actual fund amount.

\*As of March 31, 2021



## 1st Quarter 2021 Reserve Account Activity\*

Activity	Date	Amount
Beginning Balance	1/1/2021	\$775,368
4Q20 Reserve Account Deposit	1/5/2021	\$34,530
Administrative Coordinator Salary FY20	1/25/2021	(\$35,237)
Callan LLC Invoice # SI-2101043	2/4/2021	(\$30,000)
Morgan, Lewis, & Bockius LLP - Invoice # 4616532 and # 4616536	2/4/2021	(\$22,272)
Performance Guarantee Penalties - 2020 Call Service Level & 4Q20 Checks Issued	2/12/2021	\$2,600
Morgan, Lewis, & Bockius LLP - Invoice # 4645257	3/15/2021	(\$16,704)
Investment Performance (Vanguard Treasury Money Market Fund)	3/31/2021	\$41
<b>Ending Balance</b>	<b>3/31/2021</b>	<b>\$708,327</b>

\*As of March 31, 2021

# Performance Guarantees

## Performance Guarantees

Service	Standard	\$ at Risk	Current Status - YTD as of 3/31/2021
<b>Voice Response System/Participant and Plan Sponsor Website Availability</b>	The Voice Response System/Participant and Plan Sponsor websites will be available 24 hours/day, 7-days per week 99% of the time.	\$5,000 annually	Voice Response System and Website availability was 100.00% for 1Q2021.
<b>Participant Services Phone Availability and Response Time</b>	For the Live Operator Call Center, 70% of calls will be answered within 30 seconds for a live operator, and an abandonment rate of less than 4%.	\$2,500 per calendar year	68.13% of calls were answered within 30 seconds during 1Q2021.
	For the Voice Response System during hours outside of the Live Operator Call Center hours, 70% of calls will be immediately transferred to a menu after the ring, and an abandonment rate of less than 4%.	\$2,500 per calendar year	3.42% of calls were abandoned during 1Q2021.
<b>Quality Standard for Voice Response System monthly down time</b>	No more than 4 hours per month	\$2,500 per calendar year	The Voice Response System availability was 100.00% for 1Q2021.
<b>Quarterly Participant Statement Delivery</b>	99% in compliance with the Standard below.  Dependency: Receipt of all necessary information (example, message approval) from the PlanSponsor prior to the end of the quarter for which the statement period is applicable. 100% accuracy rate on statements mailed.  Standard: Mailed within 30 business days of the close of the quarter.	\$5,000 per calendar quarter plus \$1,000 for each additional day that statements are delayed	1Q: Mailed 4/15/2021
<b>Participant Transaction Confirmation Statements</b>	99% in compliance with the Standard below.  Standard: Mailed within 2-3 business days of the transaction request. Exchanges, allocation changes, and deferral changes.	\$25 for each confirmation statement delayed	All confirmations mailed within 3 business days of transaction

## Performance Guarantees (continued)

Service	Standard	\$ at Risk	Current Status - YTD as of 3/31/2021
<b>Fund Balance Transfers</b>	Mailed within three business days.  Processed same day if initiated prior to the close of the New York Stock Exchange.	\$25 for each participant confirmation statement	All confirmations mailed within 3 business days of transaction for 1Q2021.  100% of exchanges were processed the same day for 1Q2021.
<b>Investment Transaction/Election Requests; and Exchanges Executed</b>	Written verifications mailed within three business days.  Processed upon receipt of the request if initiated by 4pm ET; processed the next business day if received after 4pm ET.	\$25 for each participant confirmation statement	All confirmations mailed within 3 business days of transaction for 1Q2021.
<b>Termination/In service Withdrawals/Distributions</b>	99% of withdrawals processed within 1 business day of the receipt of the request provided the request is received in good order by 4pm ET; processed the next business day if received after 4pm ET.  Distribution checks mailed within 3-5 business days of processing.	\$1,500 per month plus \$50 per day for each check outstanding.  <b>\$1,250 penalty</b>	99% of withdrawals were processed within 1 business day of receipt in good order.  Two checks were not mailed within 3-5 business days of processing for 1Q21.
<b>Contributions processed and posted to Investments</b>	100% processed in compliance with the standard below.  <b>Standard:</b> Contributions (with complete and accurate Payroll data) received by close of business on the NYSE (4pm ET) will be processed that business day; processed the next business day if received after 4pm ET.	Nationwide will correct as of the original effective date, at its expense, any errors it commits in processing contributions sent by the Plan Sponsor.  \$2,500 for each payroll submission that is not posted in accordance with the Standard.	All contributions processed in accordance with the Standard
<b>Rollovers Out</b>	99% processed within 3-5 business days provided the approved request is received in good order by 4pm ET.	\$1,500 per calendar quarter	All good order Rollover Out requests processed within 5 business days of receipt

## Performance Guarantees (continued)

Service	Standard	\$ at Risk	Current Status - YTD as of 3/31/2021
<b>DRO processing</b>	If the Plan recognizes DROs, confirm paperwork is complete and confirm required signatures according to procedures of Plan Sponsor and process segregation within 5-7 business days of receipt of completed paperwork.	\$100 per day for each day delayed	All DROs have been processed in accordance with the Standard
<b>Plan Sponsor reports</b>	Reporting for the preceding quarter is available on 30 business days after quarter end.	\$2,500 per calendar quarter	All reports available by 30th business day after quarter end
<b>Plan Performance Reviews</b>	Fund and Plan Performance Reviews will be provided quarterly within thirty (30) days of quarter close to the Plan Sponsors and its advisors.	\$5,000 per occurrence	Reporting was posted to the Plan's website within 30 business days of quarter end. All applicable data for the Plan's Consultant was provided within 30 business days of quarter end.
<b>Participant Group Meetings</b>	Nationwide will meet annually with the Plan Sponsor to discuss and mutually agree in writing upon the number of Participant group meetings that will be provided in 2012 and in each year thereafter.  Nationwide will document the number of group meetings to be held and will include the number of group meetings held, their locations and topics covered as part of the Plan Performance Review.	\$5,000 annually	23 participant group meetings were held 1Q2021.
<b>Participant Individual Meetings</b>	Nationwide will meet annually with the Plan Sponsor to discuss and mutually agree in writing upon the number of Participant individual meetings that will be provided in 2012 and in each year thereafter.  Nationwide will document the number of individual meetings to be held and will include the number of individual meetings held, their locations and topics covered as part of the Plan Performance Review.	\$5,000 annually	2,557 individual meetings were held 1Q2021.

## Performance Guarantees (continued)

Service	Standard	\$ at Risk	Current Status - YTD as of 3/31/2021
<b>Participant Satisfaction</b>	90% rating of <b>Satisfied to Highly Satisfied</b> on a 4-point scale, with the scale as follows: 1 - Unsatisfied 2 - Somewhat Satisfied 3 - Satisfied 4 - Highly Satisfied	\$15,000 annually	2021 survey will be conducted later in the year.
<b>Implementation of all new fund changes</b>	Service provider will have all new funds up by; <b>To be determined</b>	\$5,000 per event	There were no fund changes in 1Q2021.
<b>Beneficiary Services</b>	Confirm designated beneficiaries within five business days of receipt of inquiry or transaction.	\$10 per transaction	All confirmations sent within 5 business days of inquiry or transaction
<b>Written replies to inquiries</b>	Acknowledgement issued in writing or via e-mail within 5 business days from the receipt of a mailed written or e-mailed inquiry.	\$150 per transaction	All acknowledgements issued within 5 business days of receipt of inquiry.
<b>Quality standard for amount of time to handle issue resolution</b>	90% resolved within 10 business days.	\$150 per transaction	100% of formal complaints were resolved within 10 business days.